<u>PATENTS</u> IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

GASCO ET AL-1 PCT

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SERIAL NO.:

10/533,512

OCT 1 1 2005

FILED

MAY 2, 2005

TITLE:

PHARMACEUTICAL COMPOSITIONS SUITABLE FOR THE

TREATMENT OF OPHTHALMIC DISEASES

REQUEST FOR CORRECTED FILING RECEIPT

VIA FACSIMILE: 571-273-8300 (8 Pages)

Office of Initial Patent Examination's Filing Receipt Corrections COMMISSIONER FOR PATENTS P.O. \$QX 1450 ALEXANDRIA, VA. 22313-1450

SIR:

Attached is a copy of the Official Filing Receipt received the Patent and Trademark Office in the above-identified application, which is in need of correction, as follows:

The "Applicant(s)" should read:

Maria Rosa Gasco, Torino, ITALY;

Marco Fabrizio Saettone, Viareggio, ITALY;

Gian Paolo ZARA

and not

Maria Rosa Gasco, Torino, ITALY;

Marco Fabrizio Saettone, Viareggio, ITALY;

as indicated on the attached Filing Receipt. Please add the name of the third inventor.

It is respectfully requested that a corrected filing receipt be issued.

> Respectfully submitted, Maria Rosa GA#CO et

COLLARD & ROE, P.C. 1077 Northern Boulevard Roslyn, New York 11576

(516) 365-9802

Collard Reg. No Allison C. Reg. No. 26,048 Edward R. Freedman,

Attorneys for Applicants

CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being sent by facsimile transmission to the U.S.P.T.D. Examination's Filing Receipt Corrections at 703-746-9195 on October 11-2985 Office of Initial Patent

PAGE 1/8 * RCVD AT 10/11/2005 11:46:25 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:516 365 9805 * DURATION (mm-ss):02-24



Inited States Patent and Trademark Office

United States Department of Commerce United States Defracting Tok COMM United States Patent and Trademark Office Admi: COMMISSIONEE FOR PATENTS P.O. Beat 150 Alexandra, Viginia 22313-1450 Merkuptoger

FILING OR 371 (c) DATE DRAWINGS TOT CLMS IND CLMS FIL FEE REC'D ATTY.DOCKET NO ART UNIT APPL NO. 2 **GASCO ET AL - 1PCT** 18 10/533,512 05/02/2005 1614 450

CONFIRMATION NO. 4539

25889

WILLIAM COLLARD COLLARD & ROE, P.C. 1077 NORTHERN BOULEVARD ROSLYN, NY 11576

FILING RECEIPT *OC000000017034177

Date Mailed: 09/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Maria Rosa Gasco, Torino, ITALY; Marco Fabrizio Saettone, Viareggio, ITALY;

Power of Attorney: The patent practitioners associated with Customer Number 25889.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/12180 10/31/2003

Foreign Applications

ITALY MI2002A002323 10/31/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Pharmaceutical compositions suitable for the treatment of ophthalmic diseases

Preliminary Class

514

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filling foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184
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JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office address COMMISSIONER FOR PATENTS PO. Bor 1459 Alexandra, Vaginis 22313-1450

U.S. AFFLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/533.512

Maria Rosa Gasco

GASCO ET AL - IPCT

INTERNATIONAL APPLICATION NO.

PCT/EP03/12180

PRIORITY DATE

LA. FILING DATE 10/31/2003

10/31/2002

25889

WILLIAM COLLARD COLLARD & ROE, P.C. 1077 NORTHERN BOULEVARD ROSLYN, NY 11576

CONFIRMATION NO. 4539

371 ACCEPTANCE LETTER

OC000000017034178

Date Mailed: 09/26/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

05/02/2005

05/02/2005

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (d)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 05/02/2005
- Copy of the International Search Report filed on 05/02/2005
- Preliminary Amendments filed on 05/02/2005
- Oath or Declaration filed on 05/02/2005
- Request for Immediate Examination filed on 05/02/2005
- U.S. Basic National Fees filed on 05/02/2005
- Priority Documents filed on 05/02/2005

Applicant is raminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FRANCINE YOUNG
Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

Sheet No.

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)
The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII (i) to (v)
(in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade-Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: Maria R	osa GASCO	·
Residence: Torir (city and either U	S state, if applicable, or country)	······································
Mailing Address:	Lungo Po Antonelli 207 - 10153 Todina - I	aiy
Citizenship: .!tal	an	
inventor's Signat (if not contained added under Ru	in the request or in decimand is horrows for	These Cold Cold Cold Cold Cold Cold Cold Cold
Name: Marco F	abrizio SAETTONE	••••
Residence: Vian (city and either U	sggio - Italy S state, if applicable, or country)	······································
Mailing Address:	Via G. Giusti 24 - 55049 Viareggio - Italy	
Citizenship: Itali	an Wha Li T Ja 111	**************************************
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This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

Form PCT/RO/101 (declaration sheet (iv)) (March 2001: reprint July 2003)

(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filling of the international

application. The signature must be that of the inventor, not that of

the agent)

See Notes to the request form

(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the

filing of the international application)

516 365 9805

	Sheet No
if the space is insuff are to be named in the information in t	tox No. VIII (i) to (v) DECLARATION ficient in any of Boxes Nos. VIII (i) to (v) to furnish all the information, including in the case where more than two inventors Box No. VIII (iv), in such case, write "Continuation of Box No. VIII" (indicate the item number of the Box) and furnish it is some manner as required for the purposes of the Box in which the space was insufficient. If additional space is needed more declarations, a separate continuation box must be used for each such declaration. If this Box is not used, this sheet ded in the request.
CONTINUAT	ION OF BOX NO. VIII (iv) DECLARATION: INVENTORSHIP
Name: Gian	Paolo ZARA
Residence: T (city and eith	orino - Italy er US state, if applicable, or country)
Mailing.Addr	ess: Corso Trapani 207 - 10100 Torino - Italy
Citizenship: I	National Contract of the Contr
Inventor's Signature	gnature: Escape
Date: .30.00	
Name:	
Residence: (city and eith	er US state, if applicable, or country)
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Name:	·
Residence: (city and eith	er US state, if applicable, or country)
Mailing Addr	ess:
Citizenship:	
Inventor's Si	gnature:
Date:	A

Form PCT/RO/101 (communion sheet for declaration) (March 2001; reprint July 2003)

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